



Utah Department
of Alcoholic
Beverage Control
P.O. Box 30408
Salt Lake City, UT 84130

DABC License TRANSFER of 51% or more of an Existing Entity CHECKLIST

Website: www.abc.utah.gov
Phone: 801-977-6800
Fax: 801-977-6889

The items below must be complete and submitted no later than the *10th of the month*, or sooner so that your application can be processed in time for the DABC Commission review. All licensing requirements must be fully satisfied to complete your application. * **Final approval for licensing is subject to an inspection of premises.** **INCOMPLETE APPLICATIONS WILL BE RETURNED.**

1. _____ Completed Application Form and:

- New local consent & New business license (if required by the local authority)
- Organizational chart for complex corporate structures
- Purchase agreement and/or other transfer documents satisfying the legitimate transfer of license i.e. will, probate, bankruptcy, etc.
- Ownership entity / organizational documents *updated* with Utah Department of Commerce
 - If a Corporation, submit an updated copy of the Articles of Incorporation
 - If a Partnership, submit an updated copy of the written partnership agreement
 - If a Limited Liability Company, submit an updated copy of the Articles of Organization

2. _____ A non-refundable application fee: [] \$300

3. _____ Licensing fees (see fee schedule): \$ _____

- Transferring a license within the same organization of 51% or more ownership:
 - The transfer fee is the same as the renewal fee for the type of retail license being transferred.
- If there are multiple and simultaneous transfers of retail licenses, a transfer fee is required for only one of the retail licenses being transferred. If there is a difference in fees (i.e. Bar vs limited restaurant) the higher fee will be charged.
 - The transfer fee is the same as a new application.
- Transferring the same entity license when no consideration (cash, checks, or other items of value) is transferred from a parent to an adult child, spouse to spouse, deceased persons or incompetent persons to surviving partner or spouse, executors, administrators or conservators of the estate, bankruptcy trustees, estate or living trust receivers, or between corporations owned by the same individual.
 - The transfer fee is One-Half of the initial license fee.

4. _____ Criminal history background documents for all newly added individuals to the organization:

- Electronic Fingerprints or Fingerprint card(s). (Electronic fingerprinting (Live Scan) is available at DABC by appointment, at BCI, or another FBI electronic fingerprint provider location. (See instructions).
- Signed 'Informed Consent and Waiver' forms for each new owner or manager
- FBI Background fees: \$33.25 for each new owner and /or persons employed to act in a supervisory/managerial capacity. (see background instructions)

5. _____ New Owners / Managers signed up for manager training on _____

***Revisions to the floor plan must be submitted to and approved by DABC)**



**Utah Department of
Alcoholic Beverage Control**
1625 South 900 West
P.O. Box 30408
Salt Lake City, UT 84130

DABC LICENSE TRANSFER
of 51% or more ownership of an
Existing Entity

NOTE: If the ENTITY # is CHANGING – this is the wrong form

DABC License Information

License # _____

- Application fee (non-refundable) \$300 Transfer Fee _____ (See Fee Schedule)

This application is appropriate for a 51% or more ownership transfer of an existing entity, including the transferring an existing entity to family members, such as a parent to an adult child, spouses, deceased or incompetent persons to a family member, guardian, partners, executors, administrators of the estate, or trustees in a bankruptcy case, or other situations where NO CONSIDERATION is paid for the DABC license and the entity IS NOT changing. If the entity IS changing, you must use a different form.

DABC LICENSEE INFORMATION

1. Entity Name: _____
Entity Type: Partnership Corporation Limited Liability Company
2. DBA: (Assumed name of business) _____
3. Entity Business Address: _____
STREET CITY STATE ZIP

If there will be any changes made to the entity which holds the license (rather than changes to parent organizations) i.e. business location, DBA name change, Entity name changes, you must provide the added documents:

- New Business license reflecting the new address and /or name changes
- Bond - any new name change will require a rider for the bond (or a new bond)
- New ACORD insurance certificate showing the new address or name change
- Documents from the Department of Commerce reflecting any name changes to the organization.

4. Contact Name: _____ Business Phone: _____
- Contact person Phone: _____ Email _____

CHANGE OF OWNERSHIP INFORMATION

5. Attach all documents that apply: New organizational documents of the entity reflecting ownership changes filed with the Utah Department of Commerce
- Purchase Agreement Organizational Chart Wills, Probate, Bankruptcy documents, etc.
See information below Documents satisfying the legitimate transfer of license

It is important that bonds, insurance, RASP plans, and any other documents currently on file for the entity remain in place. If any of those documents will be changing, they must be included in this application. New local consent is always required and a new business license may be required depending on the local jurisdiction. You must check with them. If they require a new business license, DABC requires a copy of the new business license.

6. Fill out the information on the following page indicating the change in ownership. Attach extra sheets as necessary. For complex corporate structures, please attach an organizational chart showing ownership interests of all parent companies until all individual person percentages are disclosed. Each parent entity must be reduced to individuals within the organization. Each individual within all entities must include their title and % owned. The total must equal 100% for all individuals within each and any entity. If you have any questions, call DABC Licensing and Compliance for assistance at 801-977-6800.

NEW OWNERSHIP / MANAGEMENT BACKGROUNDS

List all individuals, partners, managers, officers, directors, or members. Percentage owned must = 100%. Also list employees appointed to manage or direct operations of the business. Anyone owning at least 20% of an entity and all employees/managers must submit fingerprints for a background check. All individuals listed MUST be at least 21 years or older. If not a U.S. Citizen, provide residency status in section 7. Use additional sheets as necessary.

Name	Complete home address (include city, state, zip code)	Position Held	Date of Birth Month / Day Year	% Owned	US Citizen Y/N

7. Residency status (List and attach proof of residency status for all individuals who are not US citizens):

8. Criminal Offenses: List all criminal offenses other than minor traffic offenses of which you or any person listed have been convicted or pending criminal charges (name, criminal offense, date of conviction – use additional sheets if necessary):

9. New owner/managers and employee managers must complete a mandatory manager training program before a license will be issued. A “manager” includes owners, assistant managers, supervisors, team leads, etc. if they (a) manage operations or (b) supervise the furnishing of an alcoholic product at a premises that is licensed under 32B Alcoholic Beverage Control Act. Visit our website to sign up on-line at <https://abc.utah.gov/managertraining/>

10. Are you an industry member; or do you own or have an interest in a brewery, winery, or distillery?

No Yes - if Yes explain (use additional sheets as necessary) _____

ATTESTATION / COMMENCEMENT of OPERATIONS

By signing this application, the applicant attests that:

- The applicant is at least 21 years of age.
- Consent is granted to representatives of the Alcoholic Beverage Control Department, Commission, State Bureau of Investigation Bureau of Alcoholic Beverage Law Enforcement), and other law enforcement agencies to be admitted immediately and permitted without hindrance or delay to inspect the entire premises and all records of the licensee.
- Applicant has read and will abide by the provisions of Title 32B, Utah Code, and all rules of the commission and directives of the Department of Alcoholic Beverage Control and understands that failure to adhere thereto or to no longer possess the qualifications of a licensee may result in the suspension or revocation of the alcohol license and forfeiture of compliance bond.
- Applicant does not and will not discriminate against persons on the basis of race, color, sex, religion, ancestry, or national origin.
- No person in the alcoholic beverage industry (winery, brewery, distillery, importer, supplier, wholesaler, bottler, or warehouse) holds a partial interest in the ownership of the retail business or the real or personal property owned, occupied, or used by the applicant in the conduct of the applicant's business.
- The applicant acknowledges that he/she has read and understands the statements made herein, and that execution hereof is done voluntarily and by authorization of the applicant/organization and that any false statement made on this application or any related document is a second-degree felony.
- The undersigned hereby makes application to the Utah Alcoholic Beverage Control Commission for a transfer of an alcohol license and certifies that the information contained herein and attached hereto is true and correct.

_____ Date

_____ Applicant/Owner of business

_____ Title / Position

_____ Authorized Signature

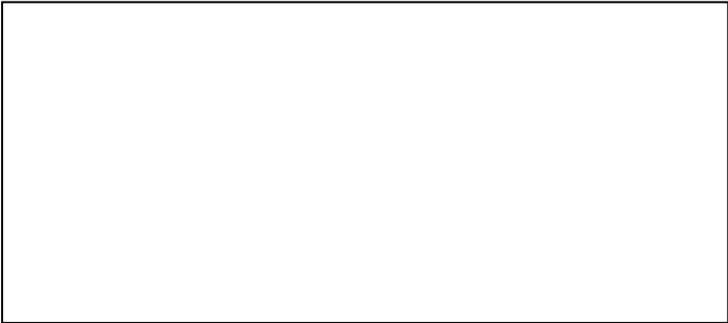
State of _____

County of _____

Subscribed and sworn before me this day

_____ of _____, 20

_____ Notary Public signature



Notary Public Stamp

DO YOU HAVE A VIOLATION HISTORY?

32B-5-203 Commission and department duties before issuing a retail license.

... Before issuing a retail license, the commission shall consider operational factors such as considering the person's ability to manage and operate a retail license and consider any other factor the commission considers necessary.

The Commission and department are required, before issuing a retail license, to conduct an investigation as to whether a retail license should be issued. The Commission has determined that one of those factors that will affect their decision to grant a license is whether or not an applicant has had a violation history. Commission Policy States:

- Any applicant which has had a violation history will NOT be considered for a new license for THREE MONTHS after the final commission order for any serious or grave violations.
- All applicants with a violation of serious or grave in the last four months to a year will be required to appear before the commission to address whether a new license should be granted.
- The commission will consider the number of violations and all mitigating and aggravating factors in determining when, if at all, to grant a license.
- For the purpose of this policy, violation history applies to all licenses where there is common ownership of 20% or more.
- DABC staff has been instructed to inform applicants with a pending violation or a violation history in the last three months to apply *after* the prescribed periods.

Please check with DABC to determine if or when a violation was adjudicated:

Any Violations No Yes *If Yes, fill in the information below:*

Violation _____ Serious Grave Date Adjudicated _____

Date the application may be considered by the Commission _____

LOCAL CONSENT
TRANSFER OF OWNERSHIP of 51% or more of an EXISTING ENTITY

DABC does not change its license number assigned to a particular licensee when the existing entity is not changing.

The DABC License Number of the existing entity is: _____

PURPOSE: Local business licensing authority provides written consent to the Alcoholic Beverage Control Commission to continue an existing on-premise alcohol license for a previously licensed entity to store, sell, offer for sale, furnish, or allow the consumption of an alcoholic product on the premises of the applicant.

AUTHORITY: Utah Code 32B-1-202; 32B-5-201 through 203; 32B-5-205 and -206

_____, City Town County
Local business license authority

hereby grants its consent to the transfer of a _____ liquor license to:
Write in the type of License

Business Name (DBA): _____

Business Entity: _____

Location Address: _____

This type of transfer does not change the licensed entity holding the DABC license or the DABC License number. However, an ownership change of the entity of 51% or more requires DABC Commission approval and verification of any local licensing changes if required by the local authority

1. If a new business license is required by the local authority - Check Here: or
(A copy of the new license must then be provided to DABC by the applicant)

2. A new business license is NOT required from the city:

Authorized Local Authority Signature

Print Name / Title

Date

This is a suggested format. A locally produced city, town, or county form is acceptable.
The local consent must be submitted to the DABC by the applicant as part of a complete application.

Criminal History Background Check Procedures

DABC Licensees / Applicant / Owner / Manager

AUTHORITY: Utah Code 32B-1 Part 3

Criminal history background checks are required for all owners and persons employed to act in a supervisory or managerial capacity for a package agency, licensee, or permittee.

If the owner is a partnership, corporation, or limited liability company, a criminal history background check is required for all partners, managing agents, managers, officers, directors, or any stockholder who holds at least 20% of the total issued and outstanding stock of the corporation, or a member who owns at least 20% of the limited liability company

Each individual who falls under the description above shall consent to a criminal history background check and shall deliver the following documents and fees to the Department of Alcoholic Beverage Control:

Background checks for each applicable person must include:

- Fingerprints - either through a live scan service or a completed FBI fingerprint card
- A signed *Informed Consent & Waiver* form for each individual fingerprinted
- \$33.25 submitted to DABC for each individual fingerprinted

You may have *live scan* fingerprint services done at the DABC by appointment. There is no charge for the fingerprinting services but the \$33.25 Background fee will still apply. Call 801-977-6800 to set up your appointment. New DABC license applicants must submit fingerprints *prior to submitting or with their application*, so please plan ahead for fingerprinting services so you can meet your deadline prior to the 10th of the month.

Live scan fingerprint services are also available at other locations. Contact any live scan provider to see if they allow general public services.

The Bureau of Criminal Identification (BCI), located at 3888 W 5400 S., Salt Lake City, Utah provides fingerprinting services as well. They may be contacted at 801-965-4445 for additional information regarding their fingerprint services.

If you use a different live scan provider other than DABC, you must supply them with the attached *live scan authorization form*. They may or may not charge a fee for their services, but the \$33.25 fee must still be paid to DABC regardless of their service fees.

DABC LICENSEE & MANAGER BACKGROUND CHECK INFORMED CONSENT AND WAIVER

Print - Name of Applicant (First, Middle, Last)

Date of Birth (Month, Day, Year)

Name of Employer

Employer Address

Job Title

Phone Number of the Applicant

(The above information to be verified by valid identification document(s) prior to background check request per Section 1028 of Title 18, United States Code)

APPLICANT NOTIFICATION AND PURPOSE:

In accordance with Utah Code 32B-1-303-307, your fingerprints will be used to **continuously check** the criminal history records of local and national (FBI) background check databases to determine whether an applicable individual has been:

- Convicted of a felony under federal or state law;
- Convicted of a violation of a federal law, state law, or local ordinance concerning the sale, manufacture, distribution, warehousing, or transportation of an alcoholic beverage;
- Convicted of a crime involving moral turpitude;
- Convicted on two or more occasions within the previous five years, driving under the influence of alcohol, a drug, or the combined influence of alcohol and a drug.

RECORD CHALLENGE:

If it is determined that a criminal history record contains a disqualifying offense, the applicable person must be notified of the reason for disqualification and given an opportunity to respond to the disqualification. You have the opportunity to complete or challenge the accuracy of the information contained in the FBI identification record. The procedure for obtaining a change, correction, or updating an FBI identification record are set forth in Title 28, CFR, 16.34. Procedures for challenging the State of Utah records if Utah has records that the FBI does not (UCA 53-10-108) can be found on the BCI website at: <https://bci.utah.gov/wp-content/uploads/sites/15/2018/01/Challenge-Application-12-5-2017.pdf>

WAIVER:

I hereby authorize the Department of Alcoholic Beverage Control (DABC) to investigate my criminal history records and acknowledge that a background check will be conducted and maintained by the State Bureau of Criminal Identification and my fingerprints continuously checked against local and national (FBI) background check databases for so long as I maintain an employment or regulatory relationship with the DABC.

My personal information and fingerprints may be retained for ongoing monitoring and comparison against future submissions to the state, regional or federal database and latent fingerprint inquiries. DABC will establish procedures to ensure removal of my fingerprints from applicable state and federal databases when I am no longer under their purview. I will provide a list of all criminal convictions which contains a description of the crimes and the particulars of the convictions.

I have read the attached Privacy Statement and understand my rights according to this statement.

I stipulate that if a criminal conviction that would disqualify any applicable individual from holding the license, permit, or package agency, the license, permit or package agency will immediately be surrendered.

I agree by signing below to notify the DABC if I cease this relationship and wish my fingerprints to be removed from the notification system.

Signature

Date

FBI Privacy Act Statement

Authority: The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub. L. 92-544, Presidential Executive Orders, and federal. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Social Security Account Number (SSAN). Your SSAN is needed to keep records accurate because other people may have the same name and birth date. Pursuant to the Federal Privacy Act of 1974 (5 USC 552a), the requesting agency is responsible for informing you whether disclosure is mandatory or voluntary, by what statutory or other authority your SSAN is solicited, and what uses will be made of it. Executive Order 9397 also asks Federal agencies to use this number to help identify individuals in agency records.

Principal Purpose: Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

Routine Uses: During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the routine uses for the NGI system and the FBI's blanket routine uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

Additional Information: The requesting agency and/or the agency conducting the application-investigation will provide you additional information pertinent to the specific circumstances of this application, which may include identification of other authorities, purposes, uses, and consequences of not providing requested information. In addition, any such agency in the Federal Executive Branch has also published notice in the Federal Register describing any system(s) of records in which that agency may also maintain your records, including the authorities, purposes, and routine uses for the system(s).

Utah Department of Alcohol Beverage Control

LIVE SCAN AUTHORIZATION FORM

Billable to DABC

Agency Billing Code: B1664 (DABC – WIN/FBI)
Type of Background Check Required: WIN/FBI Check: NFUF

Use this form if NOT doing fingerprinting services at DABC. Any qualified 'Live Scan' provider that can provide the services may do so using the DABC billing code. They may or may not charge a fee for their services. Call Ahead!

Date: _____

Applicant Name: _____

Applicant DOB: _____ Applicant SSN: _____

DABC Authorization Signature: _____ Angela Micklos

NOTE: THIS FORM STAYS WITH THE 'LIVE SCAN' PROVIDER

Regardless of any additional fees paid to the 'Live Scan' provider for their fingerprinting services, in accordance with Utah Code 32B-1-303-307, **fees of \$33.25 must be submitted to DABC** for all owners and persons employed to act in a supervisory or managerial capacity for a package agency, licensee or permittee. If the owner is a partnership, corporation, or limited liability company, a criminal history background check is required for all partners, managing agents, managers, officers, directors, or any stockholder who holds at least 20% of the total issued and outstanding stock of the corporation, or a member who owns at least 20% of the limited liability company

How to Write Your

RESPONSIBLE ALCOHOL SERVICE PLAN – (RASP)

Effective May 9th, 2017, a Responsible Alcohol Service Plan (RASP) must be submitted as a requirement of DABC licensing and renewal.

What is a RASP?

A Rasp is a written set of policies and procedures that outlines measures to prevent employees from:

- (a) Over-serving alcoholic beverages to customers;
- (b) Serving alcoholic beverages to customers who are actually, apparently, or obviously intoxicated; and
- (c) Serving alcoholic beverages to minors.

Every business is different, i.e. more or fewer employees, size of the business, amount of alcohol sales, restaurants vs bars or bowling centers etc. So each RASP should reflect the best possible way for your business to succeed in “Responsible Alcohol Service”. Take each point separately and decide:

1. How will our business prevent over service?”
 2. How will we prevent not serving someone who is already intoxicated?
 3. How will we prevent our servers from ever serving anyone under the age of 21?
- Put some time and thought into your RASP.
 - Make certain that your employees are aware of your own particular RASP procedures, be trained on it, and follow it.
 - A copy of your RASP will be kept on file with DABC.
 - You can write new RASP plan as circumstances change or new information is added.
 - These plans will be required annually, and compliance officers will check to make sure they are being implemented at your business.
 - Send any new plans to DABC as well as re-train your employees.

REQUIRED MANAGER TRAINING CLASSES

Effective January 2018

EVERY MANAGER working in a DABC retail licensed business must complete a Manager Training Program.

"Retail manager" means an individual who (a) manages operations or (b) supervises the furnishing of an alcoholic product at a premises that is licensed under 32B Alcoholic Beverage Control Act.

NOTE: A "manager" includes owners, assistant managers, supervisors, team leads, etc. if they meet the definition above.



ALL NEW DABC APPLICANTS are required to obtain management training prior to obtaining a license. Please sign up as part of your application process.

MANAGER TRAINING DATES:

Trainings will be conducted at the DABC (*unless otherwise noted on the website*) at 1625 South 900 West in Salt Lake City. The cost is \$25 per manager. Call ahead and register as seating is limited. Remember that the last date to complete the training for new applicants is prior to obtaining licensing.

Visit our website for training days and times at:

<https://abc.utah.gov/new/documents/MandatoryManagerTrainingSchedule.pdf>



REMEMBER! . . . **ANY NEW MANAGER** being added to your license must complete the manager training program and have their background check completed within 30 days of hire as a manager.

LICENSE / PERMIT TYPE	APPLICATION FEE	INITIAL FEE	RENEWAL FEE
RETAIL LICENSES			
non-refundable fee			
Airport Lounge	\$300	\$8,000	\$6,000
Arena - (includes at least three sublicenses)	\$500	\$5,000 + \$1,000 for each additional sublicense - (later sublicense additions are \$300 non-refundable fee + \$2,250 each)	\$1,000 + \$1,000 For each sublicense
Banquet Catering	\$300	\$750	\$750
Bar Establishment	\$300	\$2,750	\$2,000
Hospitality Amenity	\$330	\$2,000	\$1,000
Hotel - (includes at least three sublicenses)	\$500	\$5,000 + \$2,000 for each additional sublicense - (later sublicense additions are \$300 non-refundable fee + \$2,250 each)	\$1,000 For each sublicense
Off Premise Beer Retailer	\$75	\$250	\$175
On-Premise Beer - Recreational Amenity	\$300	\$300	\$350
Package Agency	\$125	N/A	N/A
Reception Center	\$300	\$750	\$750
Resort - (includes four sublicenses)	\$300	\$10,000 + \$2,000 for each additional sublicense - (later sublicense additions are \$300 non-refundable fee + \$2,250 each)	\$1,000 For each sublicense
Restaurant – Beer Only	\$330	\$825	\$605
Restaurant – Full Service	\$330	\$2,200	\$1,650
Restaurant – Limited Service	\$330	\$1,275	\$750
Restaurant - Master Limited Service	\$330	\$5,000 + \$1,275 licensing fees for each sublicense not already licensed	\$500 + \$750 renewal fees for each sublicense
Restaurant - Master Full Service	\$330	\$10,000 + \$2,200 licensing fees for each sublicense not already licensed	\$1,000 + \$1,650 renewal fees for each sublicense
Tavern	\$300	\$1,500	\$1,250
NON RETAIL LICENSES			
non-refundable fee			
Beer Wholesaler <ul style="list-style-type: none"> • Under 500,000 case sales • 500,000 but less than 1 million case sales • Case sales 1 million or more 	\$300	\$2,300	\$1,200 \$2,350 \$3,500
Certificate of Approval (brewers outside of Utah)	\$75	\$300	\$250
Industrial/Manufacturing Special Use	\$75	\$250	N/A
Label Approvals	N/A	\$30 per Label	N/A
Liquor Transport License	\$300	\$2,300	\$1,200
Liquor Warehouse	\$300	\$850	\$1,200
Local Industry Representative	\$75	\$125	\$125
Manufacturer <ul style="list-style-type: none"> ✓ Except winery production < 20,000 gallons 	\$300	\$3,800	\$2,900 \$1,400

LICENSE / PERMIT TYPE	APPLICATION FEE	INITIAL FEE	RENEWAL FEE
PERMITS			
non-refundable fee			
Public Service Special Use Permit	\$75	\$250	\$30 Per flight / ride etc.
Religious Special Use Permit	N/A	\$125	N/A
Scientific/Educational Special Use Permit	N/A	\$125	N/A
Single Event Permit	N/A	\$125	N/A
Temporary Beer Event Permit	N/A	\$100	N/A
TRANSFER of a LICENSE			
non-refundable fee			
MOVING - Transferring your own license to a new location	\$300	Same as the renewal fee for the license type being transferred	N/A.
<p>BUSINESS ENTITY STAYING THE SAME - Transferring 51% or more of the ownership within the same entity.</p> <ul style="list-style-type: none"> ❖ For multiple licenses being transferred at the same time, only one fee is required, choosing the renewal fee for the highest renewal fee being transferred 	\$300	Same as the renewal fee for the license type being transferred	N/A
Full TRNASFER - Transferring a license to another person or entity whether staying in the same location or moving the license to a new location	\$300	Same as a new application fee for the type of license that is being transferred	N/A
<p>WHEN NO CONSIDERATION is paid for the transfer of the license among:</p> <ul style="list-style-type: none"> ❖ Married spouses – from one spouse to the other spouse ❖ A deceased licensee to one or more surviving partners, executor, administrator or conservator of the estate, or the surviving spouse ❖ An Incompetent person to the guardian ❖ A debtor in a bankruptcy case to the trustee ❖ From the receiver of an estate to a new receiver of the estate, or to a living trust ❖ Between partners when no new partner is being licensed ❖ Between corporations owned by the same individuals 	\$300	ONE-HALF of the initial licensee fee for the type of retail license being transferred	N/A